








7 ELEMENTS FOR AN EFFECTIVE COMPLIANCE TRAINING PROGRAM

Use this simple checklist to determine compliance training readiness.

To learn more about developing compliance training programs to scale reach out to us at <https://www.meridianks.com/contact/>

ACTION ITEM	FORMAL	INFORMAL	HAS DESIGNATED OWNER
 Implementing written policies, procedures and standards of conduct.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Designating a compliance officer and compliance committee.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Conducting effective training and education.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Developing effective lines of communication.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Enforcing standards through well publicized disciplinary guidelines.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Conducting internal monitoring and auditing.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
 Responding promptly to detected offenses and developing corrective action	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>